

## ADDENDUM 4: ACCOUNTANTS/FINANCIAL ADVISERS

A) Based on your Gross Professional Fees for the past 12 months, please provide approximate % split of fees derived from your professional business activities in the following areas:

Professional Activity	% of Fees for Listed Public Companies & Financial Institutions	% of Fees for other Clients	TOTAL % of Fees for each Activity
▪ Auditing			
▪ Accounts Preparation or Bookkeeping			
▪ Receiverships, Liquidations or Bankruptcies			
▪ Investment Advice or Investment Management			
▪ Superannuation Fund Management/Trusteeship			
▪ Taxation – Aggressive tax planning &/or mass marketed “tax minimisation” schemes			
▪ Taxation – Other			
▪ Company Directorships/Secretarial Positions			
▪ Corporate Finance			
▪ Life Insurance Broking			
▪ General Insurance Broking			
▪ Life Insurance Agent			
▪ General Insurance Agent			
▪ Finance Broking – Residential			
▪ Finance Broking – Commercial			
▪ Mortgage Broking			
▪ Mortgage Origination			
▪ Solicitor Mortgage Funds			
▪ Margin Lending or Gearing			
▪ Other (please specify):			

B) Are you either an:

(i) Australian Financial Services Licence (AFSL) holder? YES NO

(ii) Authorised Representative for an Australian Financial Services Licensee? YES NO

If “YES” for either, please advise the relevant Licence Number

**ADDENDUM 4: ACCOUNTANTS/FINANCIAL ADVISERS (cont'd)**

C) If you provide investment advice or management and based on your Gross Professional Fees for the past 12 months, please provide approximate % split of fees derived from the following activities:

Activities	% of Fees (must total 100%)
▪ Dealing in Listed Securities	
▪ Dealing in Unlisted Securities	
▪ Dealing in Foreign Securities	
▪ Investment in Australian Unit Trust	
▪ Cash Management Trusts	
▪ Equity Trusts	
▪ Property Trusts – Listed or Unlisted	
▪ Investment in Foreign Unit Trusts (please specify where):	
▪ Investment in Government Bonds	
▪ Investment in Insurance Bonds	
▪ Investment in other Bonds	
▪ Dealing in Commodities	
▪ Investment in “Tangibles” (e.g. coins, fine art, gems, etc.)	
▪ Institutional Fund Management	
▪ Other (please specify):	

Proposer's Signature:

Position:

Proposer's Name:

Date: / /