

Professional Indemnity

Proposal Form Information Technology

Please complete the whole form to the best of your ability, clarifying any areas where necessary and continuing on a separate sheet if required.

A website, brochure or overview of the services you provide will assist underwriters

New start-ups: Please use estimated values for fees, work breakdown etc. Please also provide a current CV or full summary of relevant qualifications and experience.

Insurance Act 2015 - Proposal Forms for non-consumer contracts - Duty of fair presentation

1. Before this insurance contract is entered into, the Insured must make a fair presentation of the risk to the Insurer, in accordance with Section 3 of the Insurance Act 2015. In summary, the Insured must:
 - a) Disclose to the Insurer every material circumstance which the Insured knows or ought to know. Failing that, the Insured must give the Insurer sufficient information to put a prudent insurer on notice that it needs to make further enquiries in order to reveal material circumstances. A matter is material if it would influence the judgement of a prudent insurer as to whether to accept the risk, or the terms of the insurance (including premium);
 - b) Make the disclosure in clause (1)(a) above in a reasonably clear and accessible way; and
 - c) Ensure that every material representation of fact is substantially correct, and that every material representation of expectation or belief is made in good faith.
2. For the purposes of clause (1)(a) above, the Insured is expected to know the following:
 - a) If the Insured is an individual, what is known to the individual and anybody who is responsible for arranging his or her insurance.
 - b) If the Insured is not an individual, what is known to anybody who is part of the Insured's senior management; or anybody who is responsible for arranging the Insured's insurance.
 - c) Whether the Insured is an individual or not, what should reasonably have been revealed by a reasonable search of information available to the Insured. The information may be held within the Insured's organisation, or by any third party (including but not limited to subsidiaries, affiliates, the broker, or any other person who will be covered under the insurance). If the Insured is insuring subsidiaries, affiliates or other parties, the Insurer expects that the Insured will have included them in its enquiries, and that the Insured will inform the Insurer if it has not done so. The reasonable search may be conducted by making enquiries or by any other means.

Please return your completed form and any supporting documentation to:

Email: underwriting@collegiate.co.uk

Fax: 020 7459 3455

Post: 18 Mansell Street, London, E1 1FE

INFORMATION TECHNOLOGY

Company name:

Main address:

Address:	Contact Name:
	Telephone:
	Fax:
	Email:
Post code:	Website:

Please provide similar details for any other companies or businesses (including associated or subsidiary companies) requiring cover under this insurance, below.

Additional insured name and address:

Postcode

NOTE: Please note that you should answer all subsequent questions on this application form in relation to all parties to be insured under this policy

Additional liabilities:

Is cover required for anything other than work undertaken by the firm(s) identified on the Professional Insurance Portfolio proposal form? This may include a predecessor in business or liability of one of your partners or principals relating to work undertaken elsewhere.

YES NO

If YES, please provide details:

Date business established:

Total income:

	Last complete financial year	Current year	Estimate next year
UK work	£	£	£
EU work	£	£	£
US work	£	£	£
Other overseas work	£	£	£

Number of employees:

Last Year	Current Year	Estimate next year

Partners and directors:

Name	Qualifications	No. of years experience

Your business activity:

1. Please split your last completed financial year's income approximately between the following professional disciplines. If this proposal form is being completed on behalf of a new business, please split your estimated income for the forthcoming year:

a) Hardware

- i) Sales of own brand %
- ii) Sales of other brands %
- iii) Installation %
- iv) Maintenance %

b) Software product sales

- i) Shrink wrapped
 - a) third party %
 - b) own written %
- ii) Customisable software %

c) Software services

- i) Installation including configuration (no code changes) %
- ii) Customisation (including code changes) %
- iii) Developing bespoke applications %
- iv) Maintenance %

d) Services

- i) Consultancy %
- ii) Provision of contract staff %
- iii) Provision of outsourced/managed services %
- iv) Training %

e) Internet services

- i) Web Design %
- ii) Domain Name Registration %
- iii) Web Hosting %

If any work is undertaken in areas e) i), ii) or iii), please complete the Web Questionnaire

f) **Others** - Please specify:

	%
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2. How long (in terms of time) is a typical software installation (including configuration and customisation services)?

3. Please give details of the three largest contracts carried out in the past year (or coming year if a new venture):

Start /End Dates	Nature of contract	Total value	Income to you
		£	£
		£	£
		£	£

4. Is the failure of any of your products or services liable to result in any of the following outcomes, or do you work on any systems which could cause:

- i) loss of life or injury to a person? YES NO
- ii) destruction or damage to physical property? YES NO
- iii) significant financial loss? YES NO

If you have answered YES to any of the above then please explain below:

- 5. i) Do you carry out work only under a written contract signed by every client? YES NO
- ii) Do you always obtain professional legal advice if your own standard contract is not being used? YES NO

If NO, please explain on what basis you enter into contracts:



6. Are you responsible for, do you provide advice in connection with or do you undertake the following:

- i) live trading or mission critical systems? YES NO
- ii) Internet Service Provision (ISP services) or Application Service Provision (ASP)? YES NO
- iii) fully outsourced or managed services? YES NO
- iv) security of systems or networks, other than installing third party anti-virus software or firewalls? YES NO
- v) Project Management? YES NO
- vi) Engineering / Financial software? YES NO
- vii) search engine optimization? YES NO
- viii) Enterprise Resource Planning (ERP) or Customer Relationship Management (CRM)? YES NO
- xi) NPfIT, Connecting for Health other NHS contracts YES NO

If YES, to any of the above, then please provide a full description of your activities in these areas below:

7. Do you design or develop any of the following?

- i) Games YES NO
- ii) Electronic design YES NO
- iii) Embedded controls YES NO

Please note we cannot provide cover for these areas.

8. Have you ever bought Professional Indemnity Insurance in the past?
If YES, please provide details:

Name of Insurer	Limit of indemnity	Excess	Premium	Renewal Date	Retro active date	No. of years continuously held

9. Please tick the limit of indemnity now required:

- £250,000 £500,000 £1,000,000 £2,000,000

Other:

You must complete this section.

In relation to your professional business activities, are you after reasonable enquiry aware of:

Any shortcoming in your work which may lead to a claim against you.

This includes:

- A shortcoming known to you which you cannot reasonably put right. YES NO
- A complaint about your work or anything you have supplied which cannot be immediately resolved. YES NO
- An escalating level of complaint on a particular project. YES NO

A client withholding payment due to you after any complaint. YES NO

Any loss from the dishonesty or malice of any employee or self-employed freelancer. YES NO

Any loss from the suspected dishonesty or malice of any employee or self-employed freelancer. YES NO

Any matter which may give rise to a claim against you or your predecessors in business or any past partner, principal, director or employee. YES NO

If you answered YES to any of the above, please provide full details:

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Have you or any of your partners or directors at any time either personally or in any business capacity:

1. been declared bankrupt or become insolvent or made any voluntary arrangement with creditors or been subject to enforcement of a judgment debt? YES NO
2. been a partner, a director or had a controlling interest in any company, firm or business entity which has entered into a voluntary arrangement with creditors or been subject to any application for liquidation, administration, receivership or to enforcement of a judgment debt? YES NO

If the answer to 1. and/or 2. above is YES, please give full details on a separate sheet.

3. Has any claim, whether successful or not been made against you or your predecessors in business or any past or present partner, principal, director or employee (whether previously insured or not)? YES NO

4. Have you ever had any insurance or proposal cancelled, withdrawn, declined or made subject to special terms? YES NO

If the answer to 3. and/or 4. above is YES, please give full details below:

Date	Details

DECLARATION

I/we declare that I/we have made a fair presentation of the risk, by disclosing all material matters which I/we know or ought to know or, failing that, by giving the Insurer sufficient information to put a prudent insurer on notice that it needs to make further enquiries in order to reveal material circumstances.

I/We undertake to inform you before any contract of insurance is concluded, if there is any material change to the information already provided or any new fact or matter arises which may be relevant to the consideration of our proposal for insurance.

Signature of Principal/Partner/Director

Date

Name _____
(in capitals)

A copy of this proposal should be retained for your records.

Marketing

Collegiate would like the opportunity to send you relevant information which may be of interest to your business, including product and industry news. By ticking the boxes below, you are consenting to the use of your data for the purpose of marketing activities only. The data will be used only by Collegiate and will never be sold or passed onto third party companies for marketing purposes.

Please let us know if you would like us to contact you by any of the below means:

Yes, I would like to receive Marketing Communications by:

Post Email Phone SMS

Your consent and preference options can be updated or withdrawn anytime by clicking the unsubscribe link on our communications

Data Protection

Collegiate Management Services Ltd (the Data Controller) are committed to protecting and respecting your privacy in accordance with the current Data Protection Legislation. Below is a summary of the main ways in which we process your personal data, for more information please visit our website at www.collegiate.co.uk

We may use the personal data we hold about you for the purposes of providing insurance, handling claims and any other related purposes, for offering renewal, research or statistical purposes and to provide you with information, products or services that you request from us or which we feel may interest you. We will also use your data to safeguard against fraud and money laundering and to meet our general legal or regulatory obligations.

We may disclose your personal data to third parties involved in providing products or services to us, or to service providers who perform services on our behalf. These include our group companies, affinity partners, brokers, agents, third party administrators, reinsurers, other insurance intermediaries, insurance reference bureaus, credit agencies, fraud detection agencies, loss adjusters, solicitors/barristers, accountants, regulatory authorities, and as may be required by law.

We may transfer your personal data to destinations outside the European Economic Area ("EEA"), and we will ensure that it is treated securely and in accordance with the Legislation.

You have the right to ask us not to process your data for marketing purposes, to see a copy of the personal information we hold about you, to have your data deleted (subject to certain exemptions), to have any inaccurate or misleading data corrected or deleted, to restrict processing, to ask us to provide a copy of your data to any controller and to lodge a complaint with the local data protection authority.

Your data will not be retained for longer than is necessary, and will be managed in accordance with our data retention policy. In most cases, the retention period will be for maximum period of 7 years following the expiry of our business relationship with you, unless we are required to retain the data for a longer period due to business, legal or regulatory requirements.