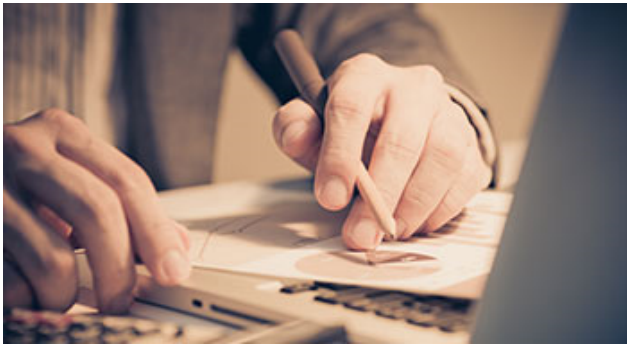


ACCOUNTANTS
PROFESSIONAL INDEMNITY INSURANCE
ONLINE PROPOSAL FORM
(intended for clients with a fee income below £500,000)

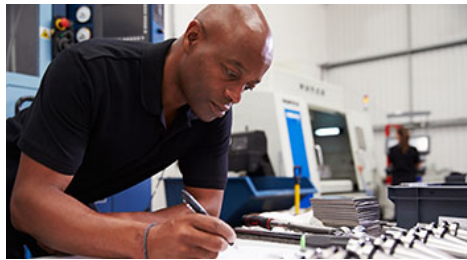
euna



Architects



Design & Construct



Engineers



Advertising & Media Consultants



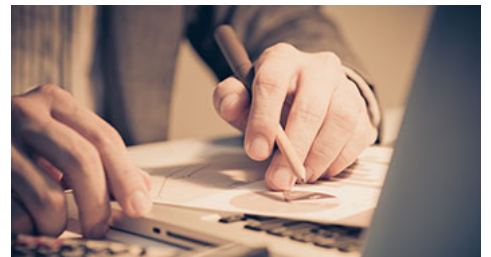
Miscellaneous Professions



Technology Consultants



Surveyors



Management Liability



Recruitment Agencies

Please read the following information carefully before completing this proposal form. This should help present a clear and unambiguous picture and to ensure that underwriters understand the nature of your risk:

- o An Individual or a Partner, Principal or Director of the Firm/Company must complete the proposal form.
- o Where a question is not applicable to your particular circumstances, please input N/A
- o If there is insufficient space to answer questions please use an additional sheet and attach it to this proposal form
- o Completing and signing this proposal form does not bind you, or insurers, to complete this contract of insurance
- o If this proposal relates to a new business or venture, please complete the questions as far as possible, giving estimated income and information about your anticipated activities
- o This short form is aimed at clients with less than £500,000 annual fee income. Please request a full proposal form if the fee income is likely to be in excess of that amount.

1 Full Legal Trading Title

2 Address (including post code)

3 Claims in the last 5 years? Yes No

4 Number of years trading?

5 Professional Indemnity Insurance Limit

6 Fee income or turnover for the last completed year
(estimate if new start up business)

7 Number of Employees (including Partners, Directors etc)

8 a) Is the Principal or at least one partner/director ICA, ACCA and/or ACA qualified? Yes No

b) If no, do all partners/directors have at least five years' experience or the relevant professional qualifications?

Yes No

Initial

Date

9 Do they currently have PI Insurance in place? Yes No

10 Is the retroactive date known? Yes No

11 Does the client's current policy have the retroactive date of none or unlimited? Yes No

12 What retroactive date is required? (dd/mmm/yyyy)

13 Is the largest fee received from one single client more than £25,000 and/or 25% of overall fee income?

Yes No

14 Have they, at any time, been involved in work for Lloyd's of London or any Lloyd's managing agents or members' agents?

Yes No

15 Have they ever provided any advice or services in respect of the following areas:
- tax efficient or tax mitigation schemes / planning?
- offshore tax planning / schemes?
- corporate taxation?

Yes No

16 Is any work undertaken outside of the United Kingdom and the Channel Islands and the Isle of Man?

Yes No

17 Please apportion the percentage of work carried out in each of the following categories for the last 12 months trading (approximate if new start up):

Audit of Quoted Companies	%
Audit of Unquoted Companies	%
Audit of Others (incl. Farmers, Small Traders etc.)	%
Accountancy (including Company Tax)	%
Personal Taxation	%
Bookkeeping / Payrole Services	%
Management Consultancy	%
Other Consultancy	%

Initial _____ Date _____

Company Secretarial/ Share Registration		%
Executorships and Trusteeships		%
Insolvencies, Liquidations and Receiverships		%
Insurance, Pensions and/or Investment Commissions (other than introductory only)		%
Any other Financial Services (IFA related) work		%
Executorship / Trusteeships / Directorships		%
Information Technology/Computer Consultancy		%
Corporate Finance, Mergers, Acquisitions and Disposals		%
Entertainment Industry		%
Tax Efficient/Mitigation/Planning Schemes		%
Other (please specify)		%
Total		%

Initial Date

Fair Presentation of Risk in Accordance with the Insurance Act 2015

The Partner, Principal or Director of the Firm/Company, must make a fair presentation of the risk to us – in accordance with Section 3 of the Insurance Act 2015. Such fair presentation must be reasonably clear and accessible, each representation of fact substantially correct, and every material representation of expectation or belief, made in good faith. In this regard, fair presentation covers 'every material circumstance which the Partner, Principal or Director of the Firm/Company knows (or ought to know) generally, including any of the Senior Management. For the sake of completeness, this also includes any information held within the Firm / Company's organisation. If any subsidiaries, affiliates or other parties are to be insured under this application, we expect that the relevant information has been sourced and provided herein.

For the purposes of this Fair Presentation the Senior Management means, in accordance with the Insurance Act 2015: those individuals that play significant roles in the making of decisions about how the Insured's activities / services / clients are to be managed. Under Section 4 of the Insurance Act 2015 an Insured must disclose all material circumstances known to its 'Senior Management' and those persons responsible for the Insured's insurances.

I / we the undersigned, agree that this submission together with any additional detail supplied, represents a fair presentation of the risk known to the Senior Management of the Firm/Company noted below.

Signed		Date	
Print Name		Position	
Company			

Short Form Privacy Notice

In order for us and the insurers to provide you with your insurance cover, we collect and process information about you. This information includes details such as your name, address and contact details and any other information that we collect about you in connection with the insurance cover from which you benefit. This information may include more sensitive details such as information about your health or any criminal convictions you may have.

In certain circumstances, we may need your consent to process certain categories of information about you, including any sensitive information (health information or information relating to any criminal convictions). Where your consent is required, unless another ground applies, your consent to this processing is necessary for us to provide our services and we will ask you for your consent separately. You may withdraw your consent at any time. However, should you exercise this right, we may not be able to fulfil the insurance services requested by you, your policy may terminate, or you may be unable to make a claim.

The way insurance works means we may need to disclose your personal information to third parties in the insurance market for example, insurers or other insurance market participants or credit reference agencies and to third parties including loss adjusters, claims handlers and solicitors.

More information about our use of personal data is set out in our Privacy Notice on our website, www.euna.com. We recommend that you review this notice.

euna

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Euna.com

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Euna Underwriting Limited are an
Appointed Representative of
ES Risks Limited
FRN 565023

1400 September 18 Version 1