

MORTGAGE BROKERS SUPPLEMENT
Forming part of the Professional Liability Application

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Name of Applicant

Provide the percentage of your firm s gross revenue from the last fiscal period attributable to the following:

Residential Mortgages	_____ Percentage	TOTAL should equal 100%
Commercial or Industrial Mortgages	_____ Percentage	
Construction Mortgages	_____ Percentage	
Other _____ Describe	_____ Percentage	

Provide the percentage of your firm s gross revenue from the last fiscal period attributable to the following activities:

Mortgage Brokerage	_____ Percentage	TOTAL should equal 100%
Mortgage Administration	_____ Percentage	
Mortgage Syndication	_____ Percentage	
Other _____ Describe	_____ Percentage	

Provide the percentage of your firm s gross revenue from the last fiscal period attributable to the following Mortgage Funding Sources:

Mortgages placed with the Institutional Lenders (Banks, Trusts and Others)	_____ Percentage	TOTAL should equal 100%
Mortgages placed with Private Lending Investment Corporations (MICs, Trusts)	_____ Percentage	
Mortgages placed with the Individual Private Lenders	_____ Percentage	
Mortgages placed with Licensed Mortgage Syndicators	_____ Percentage	
Mortgages funded In-House with own and/or Related Company Resources	_____ Percentage	
Other _____ Describe	_____ Percentage	

Provide the breakdown for the types of mortgages placed from the last fiscal period attributable to the following:

1 st Mortgage	_____ Percentage	TOTAL should equal 100%
2 nd Mortgage	_____ Percentage	
3 rd Mortgage	_____ Percentage	

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Provide the percentage attributable to the following types of construction projects if your firm has arranged construction mortgages in last 3 years:

Individual Mortgagors for their own occupancy or property development purposes (i.e., mortgagor will sell the property) _____
Percentage

Property developers for marketing purposes – individual residential projects _____
Percentage

Property developers for marketing purposes – multiple unit residential or commercial developments _____
Percentage

TOTAL should equal 100%

Does your firm or any employee have the authority to fund mortgages on behalf of a lender? Yes No

If yes please provide: _____
Lenders Name

Authority Limit

Revenue Generated

Describe your firm s five (5) largest deals in Mortgaged Dollars in the past three (3) years.

	Clients Name	Services Provided	Total Gross Billing (CAD\$)
1			
2			
3			
4			
5			

Does your firm or any other employee offer Mortgage Life Insurance? Yes No

If Yes, please provide the names of the insurers

Print Name and Title

Signature of Applicant or Authorized Representative

DATE: | D | D | M | M | Y | Y |