

COMPLETION OF THIS FORM INDICATES YOUR CONSENT FOR PROCESSING YOUR PERSONAL INFORMATION. PLEASE REFER TO PAGE 7 FOR DETAILS ON OUR PRIVACY POLICY

CONTRACTORS ENVIRONMENTAL LIABILITY PROPOSAL FORM

Please read and complete the application in its entirety. Blanks &/or dashes are not acceptable and will delay consideration of this application. Answer all questions, if the question does not apply, indicate "N/A" in the space provided.

1. GENERAL INFORMATION

Named insured	
Address	
Telephone	
Fax	
Email	Website
Named insured i	a:
Partnership 🗌	Corporation Diffusion Joint Venture Diffusion Other
	If other, describe
List all other insul Insured	eds requesting coverage under the policy and describe their relationship with the Named

Other insured	Relationship to named insured	

2. INSURANCE PROGRAMME:

(a) Limit of liability. Please indicate limit of liability required

Each incident: ______

(b) Excess. Please state required options for the each incident excess to be retained by the proposer.

(c) Has the proposer purchased this type of insurance in the last five (5) years?

Yes

If "yes" please provide details:

∃ No

3. COVERED OPERATIONS:

(a) Revenues:

Please provide details of annual revenues for the last three years of account and an estimate for the forthcoming year:

Year	Revenue

(b) Schedule of covered operations;

All activities should be detailed in the Covered operations schedule attached to this proposal form. Please complete this in full ensuring monetary values are entered in the 'revenue' column and a percentage in the 'subcontracted' column where applicable.

(c) Offshore:

Please provide details of any covered operations undertaken on offshore rigs, platforms or other permanent structures.

(d) Do you have a written emergency spill response procedure?

Yes No

(e) What levels of insurance do you require subcontractors to carry:

General liability:

Contractors environmental / pollution liability:

Professional liability

f) Do you require a written contract with subcontractors containing hold harmless and indemnification provisions with respect to environmental / pollution incidents prior to them commencing work for you?



NOTE

For the purposes of questions 4 a) to d) "you" means the named insured entity and any Director, Officer or Partner thereof.

4. CLAIMS/CIRCUMSTANCES:

(a) Have you in the last five (5) years had any reportable releases or spills of hazardous substances, hazardous waste or any other pollutants, as defined by applicable environmental statutes or regulations?
☐ Yes ☐ No If "yes" please describe
 (b) Have you in the last five (5) years been prosecuted or threatened with prosecution or are you currently being prosecuted for any offense directly or indirectly arising out of a release during any covered operations into any surface water, air, or into land or groundwater? Yes No If "yes" please describe
(c) List all the claims made against you during the last five (5) years for clean-up, bodily injury, property damage or nuisance, resulting from the release of hazardous substances, hazardous waste or other pollutants from any covered operations into the environment.
 (d) At the time of signing this proposal, are you aware of any facts or circumstances which may reasonably be expected to give rise to a claim or claims being asserted against you for clean-up, bodily injury, property damage or nuisance arising from a release of pollutants into the environment or for environmental damage? Yes No If "yes" please describe
5. DECLARATION
 I/we declare that to the best of my/our knowledge and belief the answers given on this proposal whether by me/us or on my/our behalf are complete and true and that I/we have not withheld any material information. If this proposal has been completed on my/our behalf, I/we agree the person is deemed to be my/our agent and that I/we have read the information provided before signing the form. I/we confirm that I/we have read and understood the above declaration and the important noted overleaf.
Proposer's Signature Date
If in company name, state position held

The proposal must be signed by a principal, director or partner of the proposed named insured

Covered operation	Revenue (please indicate currency)	Percentage Subcontracted
Environmental operations		
Contaminated soil excavation		
Soil & groundwater sampling		
Soil & groundwater treatment / remediation		
Dredging & marine activities		
Emergency spill response		
Landfill construction		
Landfill liner installation		
Drilling of monitoring wells		
Drilling of potable wells		
Soil / groundwater boring		
UST installation		
UST removal / decommissioning		
Pipeline installation		
Pipeline / sewer / septic maintenance		
Industrial cleaning		
Asbestos / lead abatement		
Mould remediation		
Management of waste treatment / recycling sites		
Landfill management		
Waste collection		
Other (please detail)		
Total environmental		
Non-environmental operations		
Electrical contracting		
HVAC / mechanical contracting		
Water / sewer		
Road construction / maintenance		
Excavation / site grading		
Demolition		
General construction		
Piling / foundation works		
Telecommunications		
Residential construction		
Construction management		
Tunneling		
Roofing / insulation		
Bridge construction / maintenance		
Carpentry		
Flooring		
Facilities management		
Steel erection		
Concrete work		
Brickwork / masonry		
Painting / exterior finishing		
Other (please detail)		
Total non-environmental		

IMPORTANT NOTES

Notice concerning personal information

By soliciting insurance from South Western Insurance Group Limited, you agree and provide consent that your personal information, including that previously collected, will be collected, processed, used, communicated, transferred and retained for the following purposes:

- the communication with underwriters;
- the evaluation of claims;

- the underwriting of policies;
- the detection and prevention of fraud;
- the analysis of business results;
- purposes required or authorized by law;

• In accordance with SWG's privacy policy available at the bottom of this application and as per our website: https://swgins.com/page/privacy.html

You can exercise your right to access your personal information in our possession, to have it rectified or to withdraw your consent by contacting us at PrivacyOfficer@swgins.com. Should you exercise your right to withdraw your consent to the communication or use of the information collected required to provide certain products or services, this would prevent South Western Insurance Group from being able to provide such products or services. Further information about South Western Insurance Group Limited personal information protection policy may be obtained by contacting our privacy officer at 416-620-6604.

Warranty Statement

The undersigned warrants that to the best of his or her knowledge, the statements set forth in this Application are true. In the event that there is any material change in the answers given to the questions contained in this application prior to the inception of the policy, the applicant must notify the underwriters in writing and the underwriters may revoke, or effect changes to, the quotation provided.

Signing of the proposal does not bind the undersigned to complete the insurance but it is agreed that this form, and any additional information/document provided in support thereof by the client and/or broker, shall be the basis of the contract should a policy be issued.

NEW BRUNSWICK RESIDENTS ONLY:

I hereby confirm my request that the present document and any other document and correspondence pertaining to the present insurance be in the English language.

Additional Information:



Privacy Policy

South Western Insurance Group Limited is committed to protecting the privacy and the confidentiality of our brokers and their Insured's personal information. In order to comply with privacy legislation, we have developed this Privacy Policy. Any personally identifiable information about an Insured is considered personal information and will be treated in accordance with this Privacy Policy.

IDENTIFIED PURPOSES

South Western Group only collects, uses and discloses Personal Information for the following purposes:

- Offering and providing insurance and related products and services;
- Verifying the identity and the accuracy of personal information with government agencies, industry associations, or other Insurers;
- Analyzing, assessing and underwriting risks on a prudent basis;
- Investigating and paying claims;
- Detecting and preventing fraud or other illegal activities;
- Compiling statistics;
- Complying with the laws or the requests of law enforcement agencies or regulators.

In this Privacy Policy, the above list of Identified Purposes will be referred to as the 'Identified Purposes'.

THIRD PARTY DISCLOSURE

South Western Insurance Group may share information about you with third party companies, permitted by law. Such disclosures may include those that South Western feels are required to provide customer service, prevent fraud, perform research or comply with the law. Recipients may include South Western's family of insurance service companies, claims representatives, service providers, consumer reporting agencies, insurance agents and brokers, law enforcement, courts and government agencies. These parties may disclose the information to others as permitted by law.

PRIVILEGE & CONFIDENTIALITY NOTICE - Electronic Messages

Electronic mail or faxes and any files transmitted with them are confidential and may be privileged and are intended solely for the use of the individual or entity to whom they are addressed. If you have received this e-mail in error, please notify the sender immediately.

CONSENT

South Western Group relies on the following actions by our Brokers and Companies as indication of their consent from an Insured to our existing and future Personal Information practices:

- The Broker has voluntarily requested personal information from an Insured for the purpose of acquiring an insurance contract or related service or product;
- The Broker has the Insured's express consent or acknowledgement contained within a written, verbal or electronic application process;
- The Insured's consent solicited by our Companies or our Brokers is for a specified purpose;
- The Broker must provide the Insured with a copy of their privacy policy and must inform South Western Group of any withdrawal of this consent by an Insured;
- The Broker may obtain the Insured's consent through the Insured's authorized representative such as a legal guardian, agent or holder of a power of attorney.

If an Insured refuses to provide the Broker with certain Personal Information or withdraws their consent South Western Group may be unable to provide insurance coverage.

LIMITING COLLECTION AND RETENTION OF PERSONAL INFORMATION

South Western Insurance Group will only collect, use or disclose personal information that is necessary for the Identified Purposes or as required by law. We will retain personal information only as long as necessary for the fulfillment of the Identified Purposes. South Western may store certain data in jurisdictions outside of Canada and may share information with third party companies that are located outside of Canada.

ACCURACY

South Western Group requests our brokers to maintain the Insured's Personal Information as accurate, complete, and up-to-date as is necessary for the Identified Purposes.

SAFEGUARDS

South Western Group will protect the Insured's Personal Information by security safeguards appropriate to the sensitivity of the information. Safeguards will vary depending on the sensitivity, format, location and storage of the Personal Information.

ACCOUNTABILITY, OPENNESS AND ACCESS

South Western Group is responsible for all Personal Information under its control and has designated a Privacy Officer who is accountable to Senior Management for South Western's compliance with the Privacy Policy.

You may contact our Privacy Officer at PrivacyOfficer@swgins.com.

CHALLENGING COMPLIANCE

If any Broker is not satisfied with South Western's response to a privacy related inquiry or complaint, they may contact the Office of the Privacy Commissioner of Canada during business hours at <u>1-800-282-1376</u> or at <u>www.privcom.gc.ca</u>.

Please refer to the updated comprehensive version of privacy policy on our website: https://swgins.com/page/privacy.html